

Mapping for Initial Pool Areas

A more detailed mapping document is available upon request.

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Abuse	Staff made resident feel afraid, humiliated/degraded, said mean things, hurt resident; made resident feel uncomfortable. Evidence of abuse (fractures, sprains, dislocations; burns, blisters, scalds on hands or torso; bite marks, scratches, skin tears, lacerations in unusual area; bruises or injuries in unusual areas; fear of others)? Physical/verbal aggression (hitting, kicking, pushing, striking out, threatening others)?	RI/RR/RO	Abuse Pathway for all CEs Additionally, Investigative Protocol for CE6 (F608)	1	F600	Free from Abuse and Neglect
				2	F606	Not Employ/Engage Staff with Adverse Actions
				3	F607	Develop/Implement Abuse/Neglect, etc Policies
				4	F943	Abuse, Neglect, and Exploitation Training
				5	F947	Required In-Service Training for Nurse Aides
				6	F608	Reporting of Reasonable Suspicion of a Crime
				7	F609	Reporting of Alleged Violations
				8	F610	Investigate/Prevent/Correct Alleged Violation
Accident Hazards	Bed rail or mattress entrapment; Restraint applied correctly; Unsafe cords, outlets, or handrails; Inadequate safety equipment or lighting (grab bars, ambulation, transfer, or therapy); Chemicals/other hazards; Exposure to unsafe heating surfaces; Locks disabled, propped fire doors, irregular walking surfaces; Residents adequately supervised.	RO	Accidents Pathway for all CEs Additionally, Investigative Protocol for CE2 (F700)	1	F689	Free of Accident Hazards/Supervision/Devices
				2	F700	Bedrails
				3	F909	Resident Bed
				4	F655	Baseline Care Plan
				5	F636	Comprehensive Assessments & Timing
				6	F637	Comprehensive Assmt After Significant Change
				7	F641	Accuracy of Assessments
				8	F656	Develop/Implement Comprehensive Care Plan
				9	F657	Care Plan Timing and Revision
Accommodation of Needs (Physical)	Easily get around room, to/from bathroom, use sink; Reach call light and works; Roommate's items taking over your space; Difficulty getting around room; Enough light in room that can be operated; Difficulty opening/closing drawers or bedroom/bathroom doors; Unable to see self in mirror; Unable to reach items easily; Adaptive equipment available and used.	RI/RR/RO	Environment Task Pathway	1	F558	Reasonable Accommodations of Needs/Preferences
AC Med Side Effects (Selected for Unnecessary Medication Review)	Bruising, bleeding.	RO	Unnec Meds, Psychotropic Meds, and Med Regimen Review Pathway	1	F756	Drug Regimen Review, Report Irregular, Act On
				2	F757	Drug Regimen is Free From Unnecessary Drugs
				3	F758	Free from Unnec Psychotropic Meds/PRN Use
				4	F881	Antibiotic Stewardship Program
				5	F655	Baseline Care Plan
				6	F636	Comprehensive Assessments & Timing
				7	F637	Comprehensive Assmt After Significant Change
				8	F641	Accuracy of Assessments
				9	F656	Develop/Implement Comprehensive Care Plan
				10	F657	Care Plan Timing and Revision
AC Med Side Effects (Not Selected for Unnecessary Med Review)			Guidance to Surveyors	1	F675	Quality of Life
				2	F684	Quality of Care
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F757	Drug Regimen is Free From Unnecessary Drugs
Activities	Actively participates or is encouraged; Meets interest; Offered on weekends and evenings; Activities provided to do on own; Younger resident engaged in age appropriate activities; Variety of activities available.	RI/RR/RO	Activities Pathway	1	F679	Activities Meet Interest/Needs of Each Resident
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
				6	F656	Develop/Implement Comprehensive Care Plan
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ADLs	Help to get out of bed or walk; Help to use the bathroom; Help to during meals, to clean teeth or get dressed; Hair disheveled, uncombed or greasy; Fingernails untrimmed, jagged or dirty; Facial hair unkempt or present on female resident; Face, clothing, hands unclean or with food debris; Body or mouth odor; Teeth or dentures not brushed or dentures stored in unsanitary manner; Clothing soiled or in disrepair.	RI/RR/RO	ADL Pathway	1	F676	Activities of Daily Living (ADLs)/Maintain Abilities
				2	F677	ADL Care Provided for Dependent Residents
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
ADL Decline	Change in ability to dress, bathe, transfer, walk, toilet use, clean teeth, or eat. Staff interventions (e.g., to improve, prevent further decline, or no restorative or therapy provided).	RI/RR/RR	ADL Pathway	1	F676	Activities of Daily Living (ADLs)/Maintain Abilities
				2	F677	ADL Care Provided for Dependent Residents
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Advance Directives (CPR)	Advance directive in place; If kept in two places, information matches.	RR	Guidance to Surveyors and Investigative Protocol	1	F578	Request/Refuse/Discontinue Treatment;Formulate Adv Directives
Antipsychotic with Alzheimer's or Dementia	Currently receiving an antipsychotic and has Alzheimer's or dementia.	RR	Dementia Care Pathway	1	F744	Treatment/Service for Dementia
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
				6	F656	Develop/Implement Comprehensive Care Plan
				7	F657	Care Plan Timing and Revision
			Unnec. Meds, Psychotropic Meds, and Med Regimen Review Pathway	1	F756	Drug Regimen Review, Report Irregular, Act On
				2	F757	Drug Regimen is Free From Unnecessary Drugs
				3	F758	Free from Unnec Psychotropic Meds/PRN Use
4	F881	Antibiotic Stewardship Program				
5	F655	Baseline Care Plan				
6	F636	Comprehensive Assessments & Timing				
7	F637	Comprehensive Assmt After Significant Change				
8	F641	Accuracy of Assessments				
9	F656	Develop/Implement Comprehensive Care Plan				
10	F657	Care Plan Timing and Revision				
Bladder and Bowel (B&B) Incontinence and/or Low Risk B&B	Incontinence - when, why, interventions, toileting program? Told to urinate in brief? Incontinent and not at high risk? Urine or BM odor; Resident, clothing or linens soiled with urine or BM; Timely incontinence care; Implementing maintenance programs appropriately.	RI/RR/RO/RR	B&B Incontinence Pathway	1	F690	Bowel/Bladder Incontinence, Catheter, UTI
				2	F880	Infection Prevention & Control
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
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Call light in reach, call system functioning	Call light in reach if able to use? Call system functioning in room, bathroom and bathing areas.	RO	Environment Task Pathway	2	F919	Resident Call System

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Catheter	Urinary catheter - Why? How long? Problems? Infections? Pain? Tubing properly secured, free of kinks, unobstructed; Drainage bag below level of bladder; Drainage bag off the floor; Signs or symptoms of infection; Drainage bag emptied using separate, clean collection container for each resident; Drainage spigot doesn't touch the collection container.	RI/RR/RO	Urinary Catheter or UTI Pathway	1	F690	Bowel/Bladder Incontinence, Catheter, UTI
				2	F880	Infection Prevention & Control
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Change of Condition	Change of condition not identified, monitored, or treated appropriately.	RR	Guidance to Surveyors	1	F684	Quality of Care
Choices	Choices about daily life, bathing, food and fluids, meal time, getting up, going to bed, activities, medication times, doctor, visitors any time.	RI/RR/RO	Guidance to Surveyors	1	F561	Self Determination
Constipation/ Diarrhea	Bowel or colostomy problems; Constipation (> three days); Diarrhea; Effectiveness of a bowel management program, if applicable.	RI/RR/RO	General Pathway	1	F684	Quality Of Care
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
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Dental	Problems with teeth, gums, dentures; Broken, missing or loose teeth; Inflamed or bleeding gums; Ill fitting, damaged, or missing dentures; Difficulty chewing food; Available oral hygiene products; Assistance with oral hygiene, as needed; Help making dentist appointments.	RI/RR/RO	Dental Status & Services Pathway	1	F790	Routine/Emergency Dental Services in SNFs
				2	F791	Routine/Emergency Dental Services in NFs
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
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Dialysis	Hemodialysis or peritoneal dialysis (HHD): Where and how often receive dialysis; Who administers in facility; Access site location, how often monitored, arm used for B/P, bleeding; Infections; Problems before, during or after dialysis; Weights, vital signs, meals, medications, fluid and dietary restrictions; Communication between dialysis center and facility. Offsite hemodialysis: Transportation arrangements and concerns.	RI/RR/RR	Dialysis Pathway	1	F698	Dialysis
				2	F880	Infection Prevention & Control
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
			Nutrition Pathway	1	F692	Nutrition/Hydration Status Maintenance
				2	F710	Resident's Care Supervised by a Physician
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
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Dignity	Treated with respect and dignity; Knock, ask permission to enter room; Explain service or care provided; Include resident in conversation; Visual privacy of body while visible to others; Urinary catheter bag/other body fluid collection device covered; Respond to resident's call for assistance timely; Poorly fitting clothing; Clothing or face soiled after meals; Staff used a label or pet name; Confidential clinical/personal care instructions in viewable areas; Dressed in institutional fashion; Name visible on clothes.	RI/RR/RO	Guidance to Surveyors	1	F550	Resident Rights/Exercise of Rights
Discharge	Discharge goals include going back to the community? Included in discharge planning? Referrals to agencies to assist with living arrangement or cares?	RI/RR/RO	Discharge Pathway	1	F660	Discharge Planning Process
				2	F661	Discharge Summary
				3	F622	Transfer and Discharge Requirements: Discharge Appropriate
				4	F622	Transfer and Discharge Requirements: Discharge Documentation in Record
				5	F623	Notice Requirements Before Transfer/Discharge
Edema	Legs, feet, arms, or hands swollen? Elevated? Support stockings?	RO	General Pathway	1	F684	Quality Of Care
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
				6	F656	Develop/Implement Comprehensive Care Plan
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Environment	Comfortable room or building sound levels; Temperature in room and building not too warm or too cool; Room and building clean; Water temperature aren't too hot or cold; Bed clean and comfortable; Walls, floors, ceiling, drapes, furniture not clean or in disrepair; Bed linens or fixtures soiled; Resident care equipment unclean, disrepair, stored improper; Hot water too cold; Room not homelike; Lighting levels inadequate; Stains from water damage; Transmission-based precautions: dedicated or disposable noncritical resident care equipment used.	RI/RR/RO	Environment Task Pathway (only investigate the CE of concern)	1	F558	Reasonable Accommodations of Needs/Preferences
				2	F919	Resident Call System
				3	F584	Safe/Clean/Comfort/Homelike Envir: Sound Levels
				4	F584	Safe/Clean/Comfort/Homelike Envir: Temperature Levels
				5	F584	Safe/Clean/Comfort/Homelike Envir: Lighting Levels
				6	F584	Safe/Clean/Comfort/Homelike Envir: Clean Building
				7	F584	Safe/Clean/Comfort/Homelike Envir: Building and Equipment in Good Condition
				8	F908	Essential Equipment, Safe Operating Condition
				9	F584	Safe/Clean/Comfort/Homelike Envir: Homelike
				10	F584	Safe/Clean/Comfort/Homelike Envir: Water Too Cool
				11	F584	Safe/Clean/Comfort/Homelike Envir: Linens
				12	F925	Maintains Effective Pest Control Program
				13	F923	Ventilation
				14	F924	Corridors Have Firmly Secured Handrails
				15	F921	Safe/Functional/Sanitary/Comfortable Environment

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Falls	Fall(s) with/without injury? What happened? Fall prevention interventions? Prevention devices in use and functional? Appropriate foot covering?	RI/RR/RO/ RR	Accidents Pathway for all CEs Additionally, Investigative Protocol for CE2 (F700), if applicable	1	F689	Free of Accident Hazards/Supervision/Devices
				2	F700	Bedrails (NA, unless issue identified during investigation)
				3	F909	Resident Bed (NA, unless issue identified during investigation)
				4	F655	Baseline Care Plan
				5	F636	Comprehensive Assessments & Timing
				6	F637	Comprehensive Assmt After Significant Change
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Food	Food tastes good, looks good, proper temperature; Accommodate food preferences, allergies, or sensitivities;	RI/RR/RO	Guidance to Surveyors	1	F803	Menus Meet Res Needs/Prep in Advance/Followed
				2	F804	Nutritive Value/Appear, Palatable/Prefer Temp
High Risk Meds/Diagnoses/Hospice (Selected for Unnecessary Medication Review)	Currently received antipsychotic with diagnosis of Alzheimer's/dementia, antianxiety, antidepressant, hypnotic, anticoagulant, antibiotic, diuretic, insulin, opioids. Excluded if on hospice. Excluded if has a diagnosis of Huntington's syndrome, Tourette's Syndrome, Manic Depression (bipolar disease), Schizophrenia, Cerebral Palsy, Multiple Sclerosis, Seizure Disorder/Epilepsy	RR	Unnec Meds, Psychotropic Meds, and Med Regimen Review Pathway	1	F756	Drug Regimen Review, Report Irregular, Act On
				2	F757	Drug Regimen is Free From Unnecessary Drugs
				3	F758	Free from Unnec Psychotropic Meds/PRN Use
				4	F881	Antibiotic Stewardship Program
				5	F655	Baseline Care Plan
				6	F636	Comprehensive Assessments & Timing
				7	F637	Comprehensive Assmt After Significant Change
				8	F641	Accuracy of Assessments
				9	F656	Develop/Implement Comprehensive Care Plan
				10	F657	Care Plan Timing and Revision
Hospice	How long? How often does hospice staff come? Type of care provided? Involved in care planning? Who coordinates care with hospice? Contacted you? Hospice concerns? Who do you talk to about your concerns? Comfortable, agitated, respiratory distress, pain; Privacy for visits.	RI/RR/RO	Hospice and End of Life Care and Services Pathway	1(A)	F675	Quality of Life (End of Life care)
				1(B)	F675	Quality of Life (receiving Hospice Services)
				2	F849	Hospice Services
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
8	F657	Care Plan Timing and Revision				
Hospitalization	Recent hospitalizations or ER visits - frequency and why? Bed hold?	RI/RR/RO	Hospitalization Pathway	1	F684	Quality of Care
				2	F622	Transfer and Discharge Requirements
				3	F623	Notice Requirements Before Transfer/Discharge
				4	F624	Preparation for Safe/Orderly Transfer/Discharge
				5	F625	Notice of Bed Hold Policy Before/Upon Transfer
				6	F626	Permitting Residents to Return to Facility
				7	F655	Baseline Care Plan
				8	F636	Comprehensive Assessments & Timing
				9	F637	Comprehensive Assmt After Significant Change
				10	F641	Accuracy of Assessments
				11	F656	Develop/Implement Comprehensive Care Plan
				12	F657	Care Plan Timing and Revision

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Hydration	Provide or assist with water or other beverages throughout day? Dehydrated and/or received IV fluids? Dry, cracked lips, dry mouth, sunken eyes, signs of thirst; Water pitcher accessible; Provided meal assistance (meal tray/cups/cartons opened and accessible) or resisting or refusing fluids.	RI/RR/RO	Hydration Pathway	1	F692	Nutrition/Hydration Status Maintenance
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
				6	F656	Develop/Implement Comprehensive Care Plan
				7	F657	Care Plan Timing and Revision
Infections (not UTI, Pressure Ulcer, or Respiratory)	Access to soap and assistance to wash hands? Have you had any other infections recently (e.g., surgical infection, eye infection, blood infection, or illness with nausea and vomitin)? Tell me about the infection. Are you currently having any symptoms? Display symptoms? Medical device insertion site or wound dressing have redness or swelling, drainage? If drainage present (document color/amount/type/odor). Does the resident currently have any other infection (e.g., surgical wound infection, eye infection)?	RI/RR/RO/RR	Infection Prevention, Control & Immunizations Pathway	1	F 880	Infection Prevention & Control: Implement Standard & Transmission Based Precautions (TBP), Hand Hygiene, Personal Protective Equipment (PPE) and Source Control
				2	F880	Infection Prevention & Control: Resident Care for COVID-19
				3	F880	Infection Prevention & Control: IPCP Standards, P&P and Education
				4	F880	Infection Prevention & Control: Infection Surveillance
				5	F880	Infection Prevention & Control: Visitor Entry
				6	F885	Infection Prevention & Control: Reporting (suspected & confirmed) COVID-19 & mitigating actions to residents, representatives and families
				7	F886	Staff and Resident COVID-19 Testing
				8	F880	Infection Prevention & Control: Lines
				9	F881	Antibiotic Stewardship Program
				10	F882	Infection Preventionist (IP)
				11	F883	Infuenza and Pneumococcal Immunizations
				12	F887	COVID-19 Immunization for Residents
				13	F888	COVID-19 Vaccination for Facility Staff: Policy and Procedures
				14	F888	COVID-19 Vaccination for Facility Staff: Vaccination Status Documentation
				15	F888	COVID-19 Vaccination for Facility Staff: Vaccination Status
				16	F887	COVID-19 Immunization for Staff: Screening, Education & Offering
Insulin or Blood Thinner/AC <small>(Selected for Unnecessary Medication Review)</small>	Currently receiving insulin or anticoagulant; Issues with blood sugars; Issues with bleeding or bruising; Staff response.	RI/RR/RR	Unnec Meds, Psychotropic Meds, and Med Regimen Review Pathway	1	F756	Drug Regimen Review, Report Irregular, Act On
				2	F757	Drug Regimen is Free From Unnecessary Drugs
				3	F758	Free from Unnec Psychotropic Meds/PRN Use
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Insulin or Blood Thinner/AC <small>(Not selected for Unnecessary Medication Review)</small>	Currently receiving insulin or anticoagulant; Issues with blood sugars; Issues with bleeding or bruising; Staff response.	RI/RR/RR	Guidance to Surveyors	1	F675	Quality of Life
				2	F684	Quality of Care
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F757	Drug Regimen is Free From Unnecessary Drugs

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Language/ Communication	Different language, uses sign language, other alternative communication means? Staff can communicate with the resident? Communication systems available?	RO	Communication & Sensory Pathway	1	F676	Activities of Daily Living (ADLs)/Maintain Abilities
				2	F685	Treatment/Devices to Maintain Hearing/Vision
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Limited Range of Motion (ROM)	Limitation in joints or contracture? Interventions? Splints in place and correctly applied?	RI/RRI/RO	Positioning, Mobility and Range of Motion Pathway	1(A)	F688	Increase/Prevent Decrease in ROM/Mobility (Admitted Without Limited ROM)
				1(B)	F688	Increase/Prevent Decrease in ROM/Mobility (Admitted With Limited ROM)
				2	F684	Quality of Care
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
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Mood/Behavior	Depressed, socially withdrawn, isolated, fatigued, not eating, anxious; Lack emotional affect, short tempered, frustrated, angry, easily annoyed; Hallucinations; Physical or verbal distress towards others; Wandering/pacing (in and out of rooms), rummaging in other's belongings; Combative, confrontational, hitting, scratching, throwing or smearing food or bodily waste, constant vocalizations or sounds annoying to others. Staff recognize behavior/distress? Response is person-centered?	RO	Behavioral-Emotional Status Pathway	1	F740	Behavioral Health Services
				2	F741	Sufficient/Competent Staff-Behav Health Needs
				3	F742	Treatment/Svc for Mental/Psychosocial Concerns
				4	F743	No Pattern of Behavioral Difficulties Unless Unavoidable
				5	F655	Baseline Care Plan
				6	F636	Comprehensive Assessments & Timing
				7	F637	Comprehensive Assmt After Significant Change
				8	F641	Accuracy of Assessments
				9	F656	Develop/Implement Comprehensive Care Plan
				10	F657	Care Plan Timing and Revision
Notification of Change	Change in condition, treatment, or any accidents? Notified promptly?	RRI	Guidance to Surveyors	1	F580	Notify of Changes (Injury/Decline/Room, Etc.)
Nutrition	Unplanned weight gain or loss and interventions; Meals/eating: assistance, special diet, altered consistency, cueing, difficulty swallowing, encouragement, assistive devices, substitute offered if refused or not eating; Supplements and snacks timing and consumed; Physical appearance of an altered nutritional status.	RI/RRI/RO/ RR	Nutrition Pathway	1	F692	Nutrition/Hydration Status Maintenance
				2	F710	Resident's Care Supervised by a Physician
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
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Oxygen	Oxygen mask and tubing properly placed? Tubing and humidification dated? Flow rate (liters/minute)? Discomfort or respiratory distress?	RO	Respiratory Care Pathway	1	F695	Respiratory/Tracheostomy Care and Suctioning
				2	F880	Infection Prevention & Control
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
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Pain	Verbal or non-verbal signs of pain or discomfort (where, what, how often)? Pain impacts your daily activities? Interventions (prior to opioid use)? Pain relieved? Involved in pain management? Pain meds when needed and timely? Unaddressed med side effects?	RI/RI/RO	Pain Recognition and Management Pathway	1	F697	Pain Management
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
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Participation in Care Planning	Involved in decisions about care, medication, therapy, treatments, setting goals, care plan meetings? Receive care according to the plan? New admits: Get written summary of your initial care plan? Did they explain it?	RI/RI/RI	Guidance to Surveyors	1	F657	Care Plan Timing and Revision
Personal Funds	Quarterly statements? Access your money, including weekends?	RI/RI/RI	Personal Funds Facility Task	1	F567	Protection/Management of Personal Funds
				2	F568	Accounting and Records of Personal Funds: Quarterly Statement
				3	F582	Medicaid/Medicare Coverage/Liability Notice
				4	F568	Accounting and Records of Personal Funds: Separate Accounting
				5	F568	Accounting and Records of Personal Funds: Follow Accounting Principles
				6	F571	Limitations on Charges to Personal Funds
				7	F567	Protection/Management of Personal Funds
				8	F569	Notice and Conveyance of Personal Funds
				9	F570	Surety Bond - Security of Personal Funds
Personal Property	Missing personal items? Asked to sign paper saying facility not responsible for lost items? Encouraged to bring personal items?	RI/RI/RI	Guidance to Surveyors	1	F584	Safe/Clean/Comfortable/Homelike Environment
Positioning	Lack of arm/shoulder support; Head lolling to one side, awkward angle; Hyperflexion of the neck; Leaning to the side without support to maintain an upright position; Lack of needed torso or head support; Uncomfortable Geri-chair positioning, sliding down in the chair; Wheelchair too big or too small, seat too long, short, low or high; Dangling legs and feet from chair or too short mattress; Sagging mattress while laying in bed; Bed linens tight holding feet in plantar flexion.	RO	Positioning, Mobility and Range of Motion Pathway	1(A)	F688	Increase/Prevent Decrease in ROM/Mobility (Admitted Without Limited ROM)
				1(B)	F688	Increase/Prevent Decrease in ROM/Mobility (Admitted With Limited ROM)
				2	F684	Quality of Care
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
8	F657	Care Plan Timing and Revision				

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Preadmission Screening and Resident Review (PASARR)	Level II PASARR adequately completed despite serious mental illness, ID or other organic condition related to ID/DD?	RR	PASARR Pathway	1	F645	PASARR Screening for MD & ID: Level I Prior to Admission
				2	F645	PASARR Screening for MD & ID: Short Stay Longer than 30 Days
				3	F645	PASARR Screening for MD & ID: Refer for Level II
				4	F644	Coordination of PASARR and Assessments: Refer for Newly Evident Condition
				5	F644	Coordination of PASARR and Assessments: Incorporate Level II Recommendations
				6	F644	Coordination of PASARR and Assessments: Notify Authority Timely of Newly Evident Condition
				7	F646	MD/ID Significant Change Notification
				8	F655	Baseline Care Plan
				9	F636	Comprehensive Assessments & Timing
				10	F637	Comprehensive Assmt After Significant Change
				11	F641	Accuracy of Assessments
				12	F656	Develop/Implement Comprehensive Care Plan
				13	F657	Care Plan Timing and Revision
Pressure Ulcers	Sores, open areas, pressure ulcers (where, when, how); Developed in facility, worsened, or improved; Treatment; Wound covered with dressing, drainage; Pressure relieving devices used and used correctly; Positioned off pressure ulcer, wheelchair and bed repositioning.	RI/RR/RO/ RR	Pressure Ulcer/Injury Pathway	1	F686	Treatment/Svcs to Prevent/Heal Pressure Ulcers
				2	F710	Resident's Care Supervised by a Physician
				3	F880	Infection Prevention & Control
				4	F655	Baseline Care Plan
				5	F636	Comprehensive Assessments & Timing
				6	F637	Comprehensive Assmt After Significant Change
				7	F641	Accuracy of Assessments
				8	F656	Develop/Implement Comprehensive Care Plan
				9	F657	Care Plan Timing and Revision
Privacy	Privacy during care or treatments? Visitor or telephone privacy? Bedroom equipped to assure full privacy? Private electronic or verbal communication about resident?	RI/RR/RO	Guidance to Surveyors	1	F583	Personal Privacy/Confidentiality of Records
Psych Med Side Effects (Selected for Unnecessary Medication Review)	Tongue thrusting or rolling; Lip puckering or lip smacking; Rapid eye blinking/eyebrow raising; Pill rolling; Tremors.	RO	Unnec. Meds, Psychotropic Meds, and Med Regimen Review Pathway	1	F756	Drug Regimen Review, Report Irregular, Act On
				2	F757	Drug Regimen is Free From Unnecessary Drugs
				3	F758	Free from Unnec Psychotropic Meds/PRN Use
				4	F881	Antibiotic Stewardship Program
				5	F655	Baseline Care Plan
				6	F636	Comprehensive Assessments & Timing
				7	F637	Comprehensive Assmt After Significant Change
				8	F641	Accuracy of Assessments
				9	F656	Develop/Implement Comprehensive Care Plan
				10	F657	Care Plan Timing and Revision
Psych Med Side Effects (Not selected for Unnecessary Medication Review)	Tongue thrusting or rolling; Lip puckering or lip smacking; Rapid eye blinking/eyebrow raising; Pill rolling; Tremors.	RO	Guidance to Surveyors	1	F675	Quality of Life
				2	F684	Quality of Care
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F757	Drug Regimen is Free From Unnecessary Drugs
				5	F758	Free from Unnec Psychotropic Meds/PRN Use

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Psych/Opioid Med Side Effects (Selected for Unnecessary Medication Review)	Excessive sedation; Dizziness.	RO	Unnec. Meds, Psychotropic Meds, and Med Regimen Review Pathway	1	F756	Drug Regimen Review, Report Irregular, Act On
				2	F757	Drug Regimen is Free From Unnecessary Drugs
				3	F758	Free from Unnec Psychotropic Meds/PRN Use
				4	F881	Antibiotic Stewardship Program
				5	F655	Baseline Care Plan
				6	F636	Comprehensive Assessments & Timing
				7	F637	Comprehensive Assmt After Significant Change
				8	F641	Accuracy of Assessments
				9	F656	Develop/Implement Comprehensive Care Plan
				10	F657	Care Plan Timing and Revision
Psych/Opioid Med Side Effects (Not selected for Unnecessary Medication Review)	Excessive sedation; Dizziness.	RO	Guidance to Surveyors	1	F675	Quality of Life
				2	F684	Quality of Care
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F757	Drug Regimen is Free From Unnecessary Drugs
				5	F758	Free from Unnec Psychotropic Meds/PRN Use
Rehab	Receiving therapy? Effectiveness?	RI/RR1	Specialized Rehabilitative or Restorative Services Pathway	1	F825	Provide/Obtain Specialized Rehab Services
				2	F676	Activities of Daily Living (ADLs)/Maintain Abilities
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Resident Assessment	MDS Discrepancy (checkbox is selected and "No Issue" is marked)	RI/RR1/RO/RR	Resident Assessment Task Pathway	1	F641	Accuracy of Assessments
				2	F636	Comprehensive Assessment and Timing
				3	F638	Quarterly Assessment At Least Every 3 months
				4	F640	Encoding/Transmitting Resident Assessment
				5	F642	Accuracy of Assessments
				6	F642	Coordination/Certification of Assessment

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Resident-to-Resident Interaction	Confrontations with other residents? Reported? What happened?	RI/RR	Abuse Pathway	1	F600	Free from Abuse and Neglect
				2	F606	Not Employ/Engage Staff with Adverse Actions
				3	F607	Develop/Implement Abuse/Neglect, etc Policies
				4	F943	Abuse, Neglect, and Exploitation Training
				5	F947	Required In-Service Training for Nurse Aides
				6	F608	Reporting of Reasonable Suspicion of a Crime
				7	F609	Reporting of Alleged Violations
				8	F610	Investigate/Prevent/Correct Alleged Violation
			Accidents Pathway for all CEs Additionally, Investigative Protocol for CE2 (F700), if applicable	1	F689	Free of Accident Hazards/Supervision/Devices
				2	F700	Bedrails (NA, unless issue identified during investigation)
				3	F909	Resident Bed (NA, unless issue identified during investigation)
				4	F655	Baseline Care Plan
				5	F636	Comprehensive Assessments & Timing
				6	F637	Comprehensive Assmt After Significant Change
				7	F641	Accuracy of Assessments
				8	F656	Develop/Implement Comprehensive Care Plan
				9	F657	Care Plan Timing and Revision
Respiratory Infection	Easy access to a sink with soap to wash your hands? Staff assist with washing your hands, if needed? Fever lately? Recent respiratory infection? Treated? Are you currently having any symptoms? Signs or symptoms of a respiratory infection? Currently have a respiratory infection?	RI/RR/RO/RR	Respiratory Care Pathway	1	F695	Respiratory/Tracheostomy Care and Suctioning
				2	F880	Infection Prevention & Control
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Restraints	Anything that restricts movement or access to body? Trunk restraint, limb restraint, bed rails, chair that prevents rising, mitts, or personal alarms used? Applied correctly?	RO	Physical Restraints Pathway	1	F604	Right to be Free from Physical Restraints
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
				6	F656	Develop/Implement Comprehensive Care Plan
				7	F657	Care Plan Timing and Revision
Skin Conditions (non-pressure related)	Bruises, burns, abrasions, lacerations, skin tears, rash, hives, dry skin, other skin issues? How did it occur? How are they preventing it from happening again?	RI/RR/RO	General Pathway	1	F684	Quality Of Care
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
				6	F656	Develop/Implement Comprehensive Care Plan
				7	F657	Care Plan Timing and Revision

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Smoking	Smoking safely (appropriate place, who keeps materials, oxygen use, ash/cigarette butt disposal, supervision, safety precautions or devices used); Accidents, burns or burn marks; Smoke when desired - smoking times.	RI/RR/RO	Accidents Pathway for all CEs Additionally, Investigative Protocol for CE2 (F700), if applicable	1	F689	Free of Accident Hazards/Supervision/Devices
				2	F700	Bedrails (NA, unless issue identified during investigation)
				3	F909	Resident Bed (NA, unless issue identified during investigation)
				4	F655	Baseline Care Plan
				5	F636	Comprehensive Assessments & Timing
				6	F637	Comprehensive Assmt After Significant Change
				7	F641	Accuracy of Assessments
				8	F656	Develop/Implement Comprehensive Care Plan
				9	F657	Care Plan Timing and Revision
Sufficient Staffing	Get the help and care needed without waiting a long time? What happens if you have to wait? How long do you wait for staff to respond to call light?	RI/RR/RI	Sufficient and Competent Nurse Staffing Task Pathway	1	F725	Sufficient Nursing Staff
				2	F726	Competent Nursing Staff
				3	F741	Sufficient/Competent Staff-Behav Health Needs
				4	F727	RN 8 Hrs/7 days/Week, Full Time DON: Charge Nurse
				5	F727	RN 8 Hrs/7 days/Week, Full Time DON: RN
				6	F727	RN 8 Hrs/7 days/Week, Full Time DON: DON
				7	F727	RN 8 Hrs/7 days/Week, Full Time DON: DON as Charge Nurse
				8	F726	Competent Nursing Staff
				9	F729	Nurse Aide Registry Verification, Retraining
				10	F728	Facility Hiring and Use of Nurse Aide
				11	F730	Nurse Aid Perform Review - 12 Hr/Year In-Service
				12	F731	Waiver-Licensed Nurses 24 Hr/Day and RN Coverage
				13	F731	Waiver-Licensed Nurses 24 Hr/Day and RN Coverage
				14	F732	Posted Nurse Staffing Information
				15	F741	Sufficient/Competent Staff-Behav Health Needs
				16	F838	Facility Assessment

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Transmission - Based Precautions (TBP)	Staff and visitors wearing gowns, gloves, and/or masks when entering your room? Restrictions on where you can and can't go in the facility? Reason for these restrictions? Staff explained why and how long you will be on the precautions? Restrictions for visitors coming into your room? Changes in mood since being placed in isolation? PPE readily accessible in resident areas? Appropriate PPE supplies outside of the resident's room and signage?	RI/RR/RO	Infection Prevention, Control & Immunization Pathway	1	F880	Infection Prevention & Control: Implement Standard & Transmission Based Precautions (TBP), Hand Hygiene, Personal Protective Equipment (PPE) and Source Control
				2	F880	Infection Prevention & Control: Resident Care for COVID-19
				3	F880	Infection Prevention & Control: IPCP Standards, P&P and Education
				4	F880	Infection Prevention & Control: Infection Surveillance
				5	F880	Infection Prevention & Control: Visitor Entry
				6	F885	Infection Prevention & Control: Reporting (suspected & confirmed) COVID-19 & mitigating actions to residents, representatives and families
				7	F886	Staff and Resident COVID-19 Testing
				8	F880	Infection Prevention & Control: Linens
				9	F881	Antibiotic Stewardship Program
				10	F882	Infection Preventionist (IP)
				11	F883	Influenza and Pneumococcal Immunizations
				12	F887	COVID-19 Immunization for Residents
				13	F888	COVID-19 Vaccination for Facility Staff: Policy and Procedures
				14	F888	COVID-19 Vaccination for Facility Staff: Vaccination Status Documentation
				15	F888	COVID-19 Vaccination for Facility Staff: Vaccination Status
				16	F887	COVID-19 Immunization for Staff: Screening, Education & Offering

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Tube Feeding	Tube feeding (g-tube, peg tube, TPN, naso-gastric); Reason for tube feeding; Head of bed elevated when infusing; Feeding properly labeled; Amount left seem reasonable; Weight loss or gain; Any issues; Site clean and free of infection.	RI/RR/RO	Tube Feeding Status Pathway	1	F693	Tube Feeding Management/Restore Eating Skills
				2	F880	Infection Prevention & Control
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Unsafe Wandering/Elopement	Exit seeking, attempts to leave building, or eloped; Wander alarm system functioning; Wandering into other's rooms; Follow visitors or residents to other parts of facility; Redirected and supervised by staff; Risk for elopement; Anxious, frustrated, bored, hungry.	RO/RR	Accidents Pathway for all CEs Additionally, Investigative Protocol for CE2 (F700) if applicable	1	F689	Free of Accident Hazards/Supervision/Devices
				2	F700	Bedrails (NA, unless issue identified during investigation)
				3	F909	Resident Bed (NA, unless issue identified during investigation)
				4	F655	Baseline Care Plan
				5	F636	Comprehensive Assessments & Timing
				6	F637	Comprehensive Assmt After Significant Change
				7	F641	Accuracy of Assessments
				8	F656	Develop/Implement Comprehensive Care Plan
				9	F657	Care Plan Timing and Revision
Urinary Tract Infection (UTI)	Access to soap and assistance to wash hands? Recent UTI or symptoms? Treated?	RI/RR/RO/RR	Urinary Catheter or UTI Pathway	1	F690	Bowel/Bladder Incontinence, Catheter, UTI
				2	F880	Infection Prevention & Control
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Vent/Trach	Ventilator: Signs of anxiety, distress, labored breathing; Head of bed elevated; Suction equipment immediately accessible; Staff respond timely when alarm sounds. Tracheostomy: Site clean; Emergency trach equipment, ambu bag, suction equipment accessible in room.	RO	Respiratory Care Pathway	1	F695	Respiratory/Tracheostomy Care and Suctioning
				2	F880	Infection Prevention & Control
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Vision and Hearing	Problems with vision or hearing? Glasses or hearing aids available, used, clean, working, and not broken? Help make appointments and transportation?	RI/RR/RO	Communication and Sensory Pathway	1	F676	Activities of Daily Living (ADLs)/Maintain Abilities
				2	F685	Treatment/Devices to Maintain Hearing/Vision
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

	A	B	C	D	E	F	G
1	Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks			Facility Task or CE Pathway	Critical Element #	Tag #	Tag Description
2	Death (Closed Record)			Death Pathway	1	F684	Quality of Care
3					2	F655	Baseline Care Plan
4					3	F636	Comprehensive Assessments & Timing
5					4	F637	Comprehensive Assmt After Significant Change
6					5	F641	Accuracy of Assessments
7					6	F656	Develop/Implement Comprehensive Care Plan
8					7	F657	Care Plan Timing and Revision
9					Dining		
10	2	F880	Infection Prevention & Control				
11	3	F550	Resident Rights/Exercise of Rights				
12	4	F584	Safe/Clean/Comfortable/Homelike Environment				
13	5	F561	Self Determination				
14	6	F676 and/or F677	Activities of Daily Living (ADLs)/Maintain Abilities and/or ADL Care Provided for Dependent Residents				
15	7	F810	Assistive Devices - Eating Equipment/Utensils				
16	8	F675	Quality of Life				
17	9	F806	Resident Allergies, Preferences and Substitutes				
18	10	F811	Feeding Asst - Training/Supervision/Resident				
19	11	F948	Training for Feeding Assistants				
20	12	F804	Nutritive Value/Appear, Palatable/Prefer Temp				
21	13	F692	Nutrition/Hydration Status Maintenance				
22	14	F807	Drinks Avail to Meet Needs/Preferences/Hydration				
23	15	F806	Resident Allergies, Preferences and Substitutes				
24	16	F808	Therapeutic Diet Prescribed by Physician				
25	17	F920	Requirements for Dining and Activity Rooms				
26	18	F584	Safe/Clean/Comfortable/Homelike Environment				
27	19	F920	Requirements for Dining and Activity Rooms				
28	20	F584	Safe/Clean/Comfortable/Homelike Environment				
29	21	F584	Safe/Clean/Comfortable/Homelike Environment				
30	22	F920	Requirements for Dining and Activity Rooms				
31	23	F920	Requirements for Dining and Activity Rooms				
32	24	F809	Frequency of Meals/Snacks at Bedtime				
33	25	F802	Sufficient Dietary Support Personnel				
34	26	F809	Frequency of Meals/Snacks at Bedtime				
35	Discharge (Closed Record)			Discharge Pathway	1	F660	Discharge Planning Process
36					2	F661	Discharge Summary
37					3	F622	Transfer and Discharge Requirements: Discharge Appropriate
38					4	F622	Transfer and Discharge Requirements: Discharge Documentation in Record
39					5	F623	Notice Requirements Before Transfer/Discharge

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

	A	B	C	D	E	F	G
1	Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks		Facility Task or CE Pathway		Critical Element #	Tag #	Tag Description
40	Environment		Environment Task Pathway (only investigate the CE of concern)	1	F558	Reasonable Accommodations of Needs/Preferences	
41				2	F919	Resident Call System	
42				3	F584	Safe/Clean/Comfortable/Homelike Environment: Sound Levels	
43				4	F584	Safe/Clean/Comfortable/Homelike Environment: Temperature Levels	
44				5	F584	Safe/Clean/Comfortable/Homelike Environment: Lighting Levels	
45				6	F584	Safe/Clean/Comfortable/Homelike Environment: Clean Building	
46				7	F584	Safe/Clean/Comfortable/Homelike Environment: Building and Equipment in Good Condition	
47				8	F908	Essential Equipment, Safe Operating Condition	
48				9	F584	Safe/Clean/Comfortable/Homelike Environment: Homelike	
49				10	F584	Safe/Clean/Comfortable/Homelike Environment: Water Too Cool	
50				11	F584	Safe/Clean/Comfortable/Homelike Environment: Linens	
51				12	F925	Maintains Effective Pest Control Program	
52				13	F923	Ventilation	
53				14	F924	Corridors Have Firmly Secured Handrails	
54				15	F921	Safe/Functional/Sanitary/Comfortable Environment	
55	Extended		Extended Task Pathway - Physician Services	1	F710	Resident's Care Supervised by a Physician	
56				2	F711	Physician Visits - Review Care/Notes/Order	
57				3	F712	Physician Visits - Frequency/Timeliness/Alternate NPPs	
58				4	F713	Physician for Emergency Care, Available 24 Hours	
59				5	F714	Physician Delegation of Tasks to NPP	
60				6	F715	Physician Delegation to Dietitian/Therapist	
61			Extended Task Pathway - Administration for all CEs Additionally, Investigative Protocol for Admissions Policy	1	F835	Administration	
62				2	F836	License/Comply w/Fed/State/Local Law/Prof Std	
63				3	F837	Governing Body	
64				4	F838	Facility Assessment	
65				5	F839	Staff Qualifications	
66				6	F840	Use of Outside Resources	
67				7	F841	Responsibilities of Medical Director	
68				8	F842	Resident Records - Identifiable Information	
69				9	F843	Transfer Agreement	
70				10	F844	Disclosure of Ownership Requirements	
71				11	F845	Facility Closure-Administrator	
72				12	F846	Facility Closure	
73				13	F849	Hospice Services	
74				14	F850	Qualifications of Social Worker >120 Beds	
75			Extended Task Pathway - Training	1	F943	Abuse, Neglect, and Exploitation Training	
76				2	F947	Required In-Service Training for Nurse Aides	
77				3	F948	Training for Feeding Assistants	

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

	A	B	C	D	E	F	G
1	Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks		Facility Task or CE Pathway		Critical Element #	Tag #	Tag Description
78	Hospitalization (Closed Record)		Hospitalization Pathway	1	F684	Quality of Care	
79				2	F622	Transfer and Discharge Requirements	
80				3	F623	Notice Requirements Before Transfer/Discharge	
81				4	F624	Preparation for Safe/Orderly Transfer/Discharge	
82				5	F625	Notice of Bed Hold Policy Before/Upon Transfer	
83				6	F626	Permitting Residents to Return to Facility	
84				7	F655	Baseline Care Plan	
85				8	F636	Comprehensive Assessments & Timing	
86				9	F637	Comprehensive Assmt After Significant Change	
87				10	F641	Accuracy of Assessments	
88				11	F656	Develop/Implement Comprehensive Care Plan	
89				12	F657	Care Plan Timing and Revision	
90	Infection Control		Infection Prevention, Control & Immunization Pathway	1	F880	Infection Prevention & Control: Implement Standard & Transmission Bases Precautions (TBP), Hand Hygiene, Personal Protective Equipment (PPE), and Source Control	
91				2	F880	Infection Prevention & Control: Resident Care for COVID-19	
92				3	F880	Infection Prevention & Control: IPCP Standards, P&P and Education	
93				4	F880	Infection Prevention & Control: Infection Surveillance	
94				5	F880	Infection Prevention & Control: Visitor Entry	
95				6	F885	Infection Prevention & Control: Reporting (suspected & confirmed) COVID-19 & mitigating actions to residents, representatives and families	
96				7	F886	Staff and Resident COVID-19 Testing	
97				8	F880	Infection Prevention & Control: Lines	
98				9	F881	Antibiotic Stewardship Program	
99				10	F882	Infection Preventionist (IP)	
100				11	F883	Infuenza and Pneumococcal Immunizations	
101				12	F887	COVID-19 Immunization for Residents	
102				13	F888	COVID-19 Vaccination for Facility Staff: Policy and Procedures	
103				14	F888	COVID-19 Vaccination for Facility Staff: Vaccination Status Documentation	
104				15	F888	COVID-19 Vaccination for Facility Staff: Vaccination Status	
105				16	F887	COVID-19 Immunization for Staff: Screening, Education & Offering	

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

	A	B	C	D	E	F	G
1	Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks		Facility Task or CE Pathway		Critical Element #	Tag #	Tag Description
106	Kitchen			Kitchen Task Pathway	1	F812	Food Procurement, Store/Prepare/Serve-Sanitary
107					2	F812	Food Procurement, Store/Prepare/Serve-Sanitary
108					3	F812	Food Procurement, Store/Prepare/Serve-Sanitary
109					4	F812	Food Procurement, Store/Prepare/Serve-Sanitary
110					5	F800	Provided Diet Meets Needs of Each Resident
111					6	F804	Nutritive Value/Appear, Palatable/Prefer Temp
112					7	F805	Food in Form to Meet Individual Needs
113					8	F812	Food Procurement, Store/Prepare/Serve-Sanitary
114					9	F813	Personal Food Policy
115					10	F812	Food Procurement, Store/Prepare/Serve-Sanitary
116					11	F812	Food Procurement, Store/Prepare/Serve-Sanitary
117					12	F812	Food Procurement, Store/Prepare/Serve-Sanitary
118					13	F908	Essential Equipment, Safe Operating Condition
119					14	F814	Dispose Garbage and Refuse Properly
120					15	F925	Maintains Effective Pest Control Program
121					16	F812	Food Procurement, Store/Prepare/Serve-Sanitary
122					17	F803	Menus Meet Resident needs/Prep in Advance/Followed
123					18	F801	Qualified Dietary Staff
124					19	F802	Sufficient Dietary Support Personnel
125	Medication Administration			Medication Administration Task Pathway	1	F759	Free of Medication Error Rates of 5% or More
126					2	F760	Residents Are Free of Significant Med Errors
127					3	F755	Pharmacy Svcs/Procedures/Pharmacist/Records
128					4	F761	Label/Store Drugs & Biologicals
129					5	F880	Infection Prevention & Control
130					6	F658	Services Provided Meet Professional Standards
131	Medication Storage			Med Storage Task Pathway	1	F755	Pharmacy Svcs/Procedures/ Pharmacist/Records
132					2	F755 and/or F761	Pharmacy Svcs/Procedures/ Pharmacist/Records and/or Label/Store Drugs & Biologicals
133					3	F755	Pharmacy Svcs/Procedures/Pharmacist/Records
134	Neglect			Neglect Pathway	1	F600	Free from Abuse and Neglect
135					2	F606	Not Employ/Engage Staff with Adverse Actions
136					3	F607	Develop/Implement Abuse/Neglect etc Policies
137					4	F608	Reporting of Reasonable Suspicion of a Crime
138					5	F609	Reporting of Alleged Violation
139					6	F610	Investigate/Prevent/Correct Alleged Violation
140					7	F943	Abuse Neglect, and Exploitation Training
141					8	F947	Required In-Service Training for Nurse Aides

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

	A	B	C	D	E	F	G
1	Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks			Facility Task or CE Pathway	Critical Element #	Tag #	Tag Description
142	Personal Funds			Personal Funds Facility Task	1	F567	Protection/Management of Personal Funds
143					2	F568	Accounting and Records of Personal Funds: Quarterly Statement
144					3	F582	Medicaid/Medicare Coverage/Liability Notice
145					4	F568	Accounting and Records of Personal Funds: Separate Accounting
146					5	F568	Accounting and Records of Personal Funds: Follow Accounting Principles
147					6	F571	Limitations on Charges to Personal Funds
148					7	F567	Protection/Management of Personal Funds
149					8	F569	Notice and Conveyance of Personal Funds
150					9	F570	Surety Bond - Security of Personal Funds
151					QAA & QAPI Plan Review		
152	2	F868	QAA Committee: Required Members				
153	3	F868	QAA Committee: Meets Quarterly				
154	4	F865	QAA Committee: Good Faith Attempts				
155	5	F865	QAPI Program/Plan, Disclosure/Good Faith Attmpt				
156	Resident Assessment			Resident Assessment Task Pathway	1	F641	Accuracy of Assessments
157					2	F636	Comprehensive Assessment and Timing
158					3	F638	Quarterly Assessment At Least Every 3 months
159					4	F640	Encoding/Transmitting Resident Assessment
160					5	F642	Accuracy of Assessments
161					6	F642	Coordination/Certification of Assessment

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

	A	B	C	D	E	F	G
1	Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks		Facility Task or CE Pathway		Critical Element #	Tag #	Tag Description
162	Resident Council		Resident Council Task Pathway		1	F565	Resident/Family Group and Response: Meet Regular Basis
163					2	F565	Resident/Family Group and Response: Facility Makes Arrangements
164					3	F565	Resident/Family Group and Response: Adequate Space
165					4	F565	Resident/Family Group and Response: Meet Without Staff
166					5	F565	Resident/Family Group and Response: Act Upon Grievances
167					6	F565	Resident/Family Group and Response: Respond to Group's Concerns
168					7	F565	Resident/Family Group and Response: Rationale if Doesn't Respond to Group's Concerns
169					8	F585	Grievances: File a Grievance
170					9	F585	Grievances: Complaint Without Retribution
171					10	F600	Free from Abuse and Neglect
172					11	F725	Sufficient Nursing Staff
173					12	F809	Frequency of Meals/Snacks at Bedtime
174					13	NA	NA
175					14	F563	Right to Receive/Deny Visitors
176					15	F565	Resident/Family Group and Response
177					16	F572	Notice of Rights and Rules
178					17	F550	Resident Rights/Exercise of Rights
179					18	F561	Self Determination
180					19	F576	Right to Forms of Communications with Privacy
181					20	F577	Right to Survey Results/Advocate Agency Info
182					21	F574	Required Notices and Contact Information
183					22	F573	Right to Access/Purchase Copies of Records
184					23	F574	Required Notices and Contact Information
185					24	Any	
186	SNF Beneficiary Protection Notification Review		SNF Beneficiary Protection Notification Review Task Pathway		1	F582	Medicaid/Medicare Coverage/Liability Notice

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

	A	B	C	D	E	F	G
1	Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks		Facility Task or CE Pathway		Critical Element #	Tag #	Tag Description
187	Sufficient & Competent Nurse Staffing		Sufficient and Competent Nurse Staffing Task Pathway		1	F725	Sufficient Nursing Staff
188					2	F726	Competent Nursing Staff
189					3	F741	Sufficient/Competent Staff-Behav Health Needs
190					4	F727	RN 8 Hrs/7 days/Week, Full Time DON: Charge Nurse
191					5	F727	RN 8 Hrs/7 days/Week, Full Time DON: RN
192					6	F727	RN 8 Hrs/7 days/Week, Full Time DON: DON
193					7	F727	RN 8 Hrs/7 days/Week, Full Time DON: DON as Charge Nurse
194					8	F726	Competent Nursing Staff
195					9	F729	Nurse Aide Registry Verification, Retraining
196					10	F728	Facility Hiring and Use of Nurse Aide
197					11	F730	Nurse Aid Perform Review - 12 Hr/Year In-Service
198					12	F731	Waiver-Licensed Nurses 24 Hr/Day and RN Coverage
199					13	F731	Waiver-Licensed Nurses 24 Hr/Day and RN Coverage
200					14	F732	Posted Nurse Staffing Information
201					15	F741	Sufficient/Competent Staff-Behav Health Needs
202					16	F838	Facility Assessment

F-tags Not Mapped to a Care Area

Ftag	SQC Tag X = Yes	Tag Title	Investigative Protocol X = Yes
F540		Definitions	
F551		Rights Exercised by Representative	
F552		Right to be Informed/Make Treatment Decisions	
F553		Right to Participate in Planning Care	
F554		Resident Self-Admin Meds-Clinically Appropriate	
F555		Right to Choose/Be Informed of Attending Physician	
F557		Respect, Dignity/Right to have Personal Property	
F559	X	Choose/Be Notified of Room/Roommate Change	
F560		Right to Refuse Certain Transfers	
F562		Immediate Access to Resident	
F564		Inform of Visitation Rights/Equal Visitation Privileges	
F566		Right to Perform Facility Services or Refuse	
F575		Required Postings	
F579		Posting/Notice of Medicare/Medicaid on Admission	
F586		Resident Contact with External Entities	
F602	X	Free from Misappropriation/Exploitation	X
F603	X	Free from Involuntary Seclusion	X
F605	X	Right to be Free from Chemical Restraints	X
F620		Admissions Policy	
F621		Equal Practices Regardless of Payment Source	
F635		Admission Physician Orders for Immediate Care	
F638		Quarterly Assessment At Least Every 3 Months	
F639		Maintain 15 Months of Resident Assessments	
F640		Encoding/Transmitting Resident Assessment	
F642		Coordination/Certification of Assessment	
F659		Qualified Persons	
F678	X	Cardio-Pulmonary Resuscitation (CPR)	X
F680	X	Qualifications of Activity Professional	
F687	X	Foot Care	
F691	X	Colostomy, Urostomy, or Ileostomy Care	
F694	X	Parenteral/IV Fluids	
F696	X	Prostheses	
F699*	X	Trauma Informed Care	
F745	X	Provision of Medically Related Social Service	
F770		Laboratory Services	
F771		Blood Bank and Transfusion Services	
F772		Lab Services Not Provided On-Site	
F773		Lab Svs Physician Order/Notify of Results	
F774		Assist with Transport Arrangements to Lab Svcs	
F775		Lab Reports in Record-Lab Name/Address	
F776		Radiology/Other Diagnostic Services	
F777		Radiology/Diag. Svcs Ordered/Notify Results	
F778		Assist with Transport Arrangements to Radiology	
F779		X-Ray/Diagnostic Report in Record-Sign/Dated	
F826		Rehab Services- Physician Order/Qualified Person	
F847		Enter into Binding Arbitration Agreements	
F848		Select Arbitrator/Venue, Retention of Agreements	
F851		Payroll Based Journal	
F866*		QAPI/QAA Data Collection and Monitoring	
F884		Reporting - National Health Safety Network (performed offsite by Federal Surveyors only)	
F895*		Compliance and Ethics Program	
F906		Emergency Power	
F907		Space and Equipment	
F910		Resident Room	
F911		Bedroom Number of Residents	
F912		Bedrooms Measure at Least 80 Square Ft/Resident	
F913		Bedrooms Have Direct Access to Exit Corridor	
F914		Bedrooms Assure Full Visual Privacy	
F915		Resident Room Window	
F916		Resident Room Floor Above Grade	
F917		Resident Room Bed/Furniture/Closet	
F918		Bedrooms Equipped/Near Lavatory/Toilet	
F922		Procedures to Ensure Water Availability	
F926		Smoking Policies	
F940*		Training Requirements - General	
F941*		Communication Training	
F942*		Resident's Rights Training	
F944*		QAPI Training	
F945*		Infection Control Training	
F946*		Compliance and Ethics Training	
F949*		Behavioral Health Training	

*Tag will not be in ASPEN until Phase 3